

8-30-01

A

Jc921 U.S. PTO
08/29/01UTILITY
PATENT APPLICATION
TRANSMITTAL

Our Docket No.: 6866.01

Date: August 29, 2001

First Named Inventor: James R. Hornsby

Title: RACE CAR AND TRACK

Express Mail No.: EL 501536862 US

J1038 U.S. PTO
09/942156
08/29/01

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 37
 - ☒ Descriptive title of the invention
 - ☐ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 41
5. ☐ Oath or Declaration Total Pages:
 - a. ☐ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application (see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identify of above copies

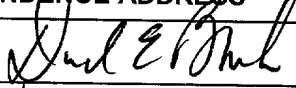
ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(If foreign priority is claimed)
18. ☒ Other: Check No. 885637 in the amount of \$543.00

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

CORRESPONDENCE ADDRESS

| | | | |
|-----------|---|---|------------------------|
| ATTY NAME | David E. Bruhn Reg. No. 36,762 | SIGNATURE:  | DATE: August 29, 2001 |
| ADDRESS | DORSEY & WHITNEY LLP Pillsbury Center South 220 South Sixth Street Minneapolis, MN 55402 | | Customer Number: 25763 |
| TELEPHONE | 612-340-6317 | | |
| FAX | 612-340-8856 | | |

**APPLICATION
FEE TRANSMITTAL SHEET
(FOR FY 2001)**

Complete if Known

| | |
|----------------------|------------------|
| Application No. | Not Yet Known |
| Filing Date | Herewith |
| First Named Inventor | James R. Hornsby |
| Group Art Unit | Not Yet Known |
| Examiner Name | Not Yet Known |
| Atty. Docket Number | 6866.01 |

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account No.: 04-1420
 Deposit Account Name: DORSEY & WHITNEY LLP
☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | | |
|---------------------|----------|--------------|----------|--|-----------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| 101 | 710 | 201 | 355 | <input checked="" type="checkbox"/> Utility Filing Fee | \$355.00 |
| 106 | 320 | 206 | 160 | <input type="checkbox"/> Design Filing Fee | |
| 108 | 710 | 208 | 355 | <input type="checkbox"/> Reissue Filing Fee | |
| 114 | 150 | 214 | 75 | <input type="checkbox"/> Prov. Filing Fee | |
| Subtotal (1) | | | | | \$355.00 |

2. EXTRA CLAIM FEES

| | Number Claims | Prior | Extra | Fee from Below | Fee Paid |
|---------------------------|---------------|-------|-------|----------------|-----------------|
| Total | 32 | - 20 | = 12 | x 9/18 | = \$108.00 |
| Indep. | 5 | - 3 | = 2 | x 40/80 | = \$80.00 |
| Multiple Dependent Claims | | | | x 135/270 | = |
| Subtotal (2) | | | | | \$188.00 |

| Large Entity | | Small Entity | | |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent Claim |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | | |
|---------------------------------|----------|--------------|----------|--|-----------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee paid |
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 280 | 945 | Extension for reply within fifth month | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 260 | 270 | 135 | Request for oral hearing | |
| 148 | 110 | 248 | 55 | Terminal Disclaimer Fee | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility/Reissue issue fee (inc. advance copies) | |
| 143 | 440 | 243 | 220 | Design issue fee (inc. advance copies) | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 195 | 300 | 195 | 300 | Publication fee for early, voluntary, or normal publication | |
| 196 | 300 | 196 | 300 | Publication fee for republication | |
| 126 | 180 | 126 | 180 | Submission of IDS | |
| 581 | 40 | 81 | 40 | Recording each patent assignment per property (times number of properties) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| Subtotal (3) | | | | | 0 |
| Total Amount of Payment: | | | | | \$543.00 |

Name: David E. Bruhn

Reg. No. 36,762

Telephone: (612) 340-6317

Signature: 

Date: August 29, 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **James R. Hornsby et al.**

Docket No.

6866.01

Serial No.

Not Yet Known

Filing Date

Herewith

Examiner

Not Yet Known

Group Art Unit

Not Yet Known

Invention: **RACE CAR AND TRACK**

I hereby certify that this **Spec; Drwgs; Check #885637; Appln Trans; Appln Data Sheet; Fee Trans; Receipt Pstcrd**
(Identify type of correspondence)

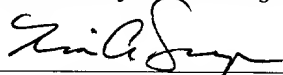
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

'20231-0001 on 29 August 2001

(Date)

Lia Swope

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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